



**TRANSPARENCY
INTERNATIONAL
CANADA**

**WESTERN CANADA
ANTI-CORRUPTION CONFERENCE**

**ANTI-CORRUPTION
COMPLIANCE IN A LOW OIL
PRICE ENVIRONMENT**

**WED, JANUARY 18TH, 2017
8:30 AM TO 2:30 PM**

**McDougall House
455 6 Street SW
Calgary, Alberta**

Participation Cost: **\$125** • Members: **\$100**
Space is limited.

Sponsored By:

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CONFERENCE HIGHLIGHTS

➤ ANTI-CORRUPTION COMPLIANCE IN A LOW OIL PRICE ENVIRONMENT

Given current financial constraints, many corporate compliance programs are short on resources, and are looking to introduce the anti-corruption programs that will have the biggest impact. This panel will answer the often-asked question “What compliance activities can companies undertake that give them the best possible protection and still take into consideration their size and financial position?”

➤ ROLE OF FINANCIAL INSTITUTIONS IN THE FIGHT AGAINST CORRUPTION

Corruption is one of the main obstacles to sustainable economic, political and social development. Commonly cited estimates indicate that the cost of corruption equals more than five percent of global GDP (US\$2.6 trillion, World Economic Forum), with over US\$1 trillion paid in bribes each year (World Bank). The World Bank is on record as having zero tolerance for corruption and works to influence governments and the private sector to take wide-ranging steps to prevent it. They work at the country, regional and global levels to build capable, transparent and accountable institutions, and to design and implement anti-corruption programs.

Speakers will look at the investigations and events leading up to the Supreme Court of Canada decision on World Bank immunities. A panel will discuss the tools and programs available to financial institutions that allow them to contribute to the global fight against corruption.

the global coalition against corruption

CONFERENCE AGENDA

Session 1 Tone From the Top and a Culture of Compliance

Summary: Incorporated into numerous guidance documents and judgements, the need for an organization's senior management to set an ethical climate of compliance has received international recognition over the past years. This session will look at common elements of tone from the top and how companies can achieve a positive culture of compliance.

Speaker: **Gina Campbell**, Partner, Deloitte, Calgary

Session 2 Corruption Risk Assessments

Summary: Risk assessments should be a part of any corporate risk mitigation strategy, but conventional risk assessments do not adequately address the unique elements of corruption risk. This session will review what constitutes a corruption risk assessment, as well as some of the factors to consider in developing and implementing a risk assessment proportional to the needs of an organization.

Speakers: **Richard Stone**, Partner, Bennett Jones, Calgary
Martin Mueller, Board Member, Transparency International Canada

Session 3 Elements of an Anti-Corruption Compliance Program

Summary: Recent enforcement actions by regulators have highlighted the necessity of a good anti-corruption compliance program. The existence of such programs has resulted in the lack of prosecution in a number of cases, where regulators have noted companies' actions in putting countermeasures to corruption in place and determined that prosecuting would not serve the public interest. Speakers in this session will examine the critical elements of a quality compliance program and the steps a company can take to mitigate its risks.

Speakers: **Hentie Dirker**, Chief Compliance Officer, SNC-Lavalin, Montreal
Anthony Cole, Partner, Dentons, Calgary

Session 4 Internal Investigations and Dealing with the Authorities

Summary: When allegations of corrupt activity arise, the manner in which a company reacts and the steps it takes to investigate those allegations are critically important. Conducting a proper internal investigation allows an organization to detect and deal with critical deficiencies and issues. Conversely, a failure to properly respond to allegations of corruption risks a number of significant adverse criminal and reputational consequences. This session will address considerations that arise when structuring and carrying out internal investigations, assessing potential self-reporting, and engaging in dialogue with the authorities.

Speakers: **Mark Morrison**, Partner, Blakes, Calgary
Murray Rodych, Senior Counsel, Public Prosecution Service of Canada

CONFERENCE AGENDA – CONT'D

Session 5

The World Bank and SNC: What Happened and Why It's Important

Summary:

Revisit the case of the World Bank and SNC-Lavalin, and the fight against corruption that ensued. After the World Bank received reports alleging that representatives of SNC-Lavalin were planning to bribe foreign officials in a Bank-financed project, an investigation was carried out and information voluntarily shared with Canadian authorities. Charges were laid against former SNC-Lavalin executives, but in the course of the proceedings the accused attempted to compel access to the World Bank's investigative files. When the World Bank argued that they were protected by immunities under international agreements, Transparency International Canada intervened, claiming that global anti-corruption efforts cannot be successful without full-bodied protections for whistleblowers. The Supreme Court of Canada eventually upheld a broad interpretation of the World Bank's privileges and immunities, and explicitly recognized that requiring the World Bank to produce its investigative files "could have a chilling effect on collaboration with domestic law enforcement." Hear the story behind the investigation and the important issues that were raised.

Speaker:

Howard Dean, Head of Investigations (Africa), World Bank
Co-Lead on the World Bank Investigation of SNC-Lavalin

Moderator:

Milos Barutciski, Partner, Bennett Jones, Toronto

Panel Discussion

The Role of Financial Institutions in the Fight Against Corruption

Summary:

Corruption is one of the main obstacles to sustainable economic, political and social development. Commonly cited estimates indicate that the cost of corruption equals more than five percent of global GDP (US\$2.6 trillion, World Economic Forum), with over US\$1 trillion paid in bribes each year (World Bank). The World Bank is on record as having zero tolerance for corruption and works to influence governments and the private sector to take wide-ranging steps to prevent corruption. They work at the country, regional and global levels to build capable, transparent and accountable institutions, and to design and implement anti-corruption programs. This panel will address the tools and programs available to financial institutions that allow them to contribute to the global fight against corruption.

Speakers:

Howard Dean, Head of Investigations (Africa), World Bank
Josée Gravel, Vice President and General Counsel, Export Development Canada

Moderator:

Shane Jaffer, Senior Director, Alberta International Development Office

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