

BUSINESS INTEGRITY FORUM

Roundtable Discussion



**TRANSPARENCY
INTERNATIONAL
CANADA**

November 23, 2016
11:30 am – 1:00 pm EST

Bennett Jones LLP
3400 One First Canadian Place
Toronto, ON

Lunch Will be Served
Please RSVP by November 20, 2016 by Email to
ti-can@transparencycanada.ca

Effective Compliance: Examples from the Field

Special Note:

FREE EVENT – Attendance limited to current TI Canada Supporters

Summary:

You have policies and procedures, training and tone from the top. You are ahead of the game, but that is no guarantee that compliance challenges won't arise, or that the solutions will be simple or straightforward. The transition from process and box-checking to truly effective compliance management calls for an informed approach to preliminary assessment, triage of potential problems and structured escalation to focus scarce resources on the real problems.

One of Canada's most experienced anti-corruption advisors will use case studies and examples from the field to illustrate typical compliance challenges, best practices in responding to compliance incidents, lessons learned from compliance failures and effective use of internal and external resources.

Key issues to be covered will include:

- 1) Third Party Due Diligence – How much is enough?
- 2) Gifts and Entertainment – The Line between building good will and quid pro quo
- 3) Keeping partners, distributors and other business partners in line – when others drag you into the mud
- 4) Escalating to the Board – Advising the Board and the respective roles of management, board and external advisors

Speaker:

Milos Barutciski chairs Bennett Jones' International Trade and Investment Group. He has represented Canadian and international companies, including Fortune 500 companies and corporations listed on the TSX, NYSE, NASDAQ, European and Asian exchanges, in anti-corruption investigations and compliance matters on five continents, including investigations by the RCMP, the U.S. DOJ and SEC, and the World Bank. Mr. Barutciski is a founding member of the Business and Industry Advisory Committee to the OECD Task Force on Bribery and was intimately involved in the consultations and negotiations leading to the 1997 OECD Anti-Bribery Convention. Mr. Barutciski was also consulted by the Government of Canada regarding the drafting of the Corruption of Foreign Public Officials Act and was retained by the World Bank on regulatory reform mandates in Africa and Middle East. He is a past-director of Transparency International Canada and member of the Bars of Ontario and Quebec.

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