



# Transparency International Canada Inc.

presents the **5<sup>th</sup> Annual**

## Day of Dialogue:

# Spotlight on Corruption

**When:** Wednesday, 6 May 2015, 08:00 – 17:00

**Location:** #3400, 1 First Canadian Place, Toronto (offices of Bennett Jones LLP)

PD credits are available for Ontario CAs

This event is eligible for Substantive Hours for Lawyers called in Ontario

## Agenda

08:00 – 08:15

**Coffee and Networking**

08:15 – 08:30

**Welcome and Introduction to Day**

*Mr. Peter Dent, Chair and President, Transparency International Canada, Partner, Americas Leader/ Global Financial Crime Initiative Leader, Deloitte Forensic*

08:30 – 10:00

**Tone at the Top: Compliance and Corporate Governance**

Legal and compliance advisors emphasize the role of board and senior management commitment to compliance - Tone at the Top - as the most important ingredient of an effective compliance policy. This is often viewed as self-evident in all regulatory areas, including anti-corruption. Yet, in practice, compliance is often subordinated to other corporate functions. An expert panel will elaborate on the interface between governance and compliance, best practices and impediments to meeting them.

Moderator:

*Mr. Milos Barutciski, TI-Canada Board Member, Partner, Bennett Jones LLP*

Speakers:

*Mr. David R. Beatty, Conway Chair, Clarkson Centre for Business Ethics & Board Effectiveness, Rotman School of Management, University of Toronto*

*Ms. Carol Hansell, Founder and Senior Partner, Hansell LLP*

*Ms. Monica Kowal, Vice Chair, Ontario Securities Commission*

Rapporteur:

*Ms. Sabrina A. Bandali, Associate, Bennett Jones LLP*

**Tackling Money Laundering and the Illicit Flow of Cash**

Corruption around the world is enabled by people's ability to launder and hide the proceeds of corruption. Strengthened global anti-money laundering standards by organizations, such as the Financial Action Task Force (FATF) and the United Nations Convention against Corruption, still leave loopholes, a key one being who is the true beneficial owner? Along with Public Beneficial Ownership registries, national, financial, regulatory and supervisory authorities must take action to ensure compliance with anti-money laundering obligations.

Moderator:

*Mr. Sylvain Perreault, TI-Canada Board Member, Chief Compliance Officer, Desjardins Group*

Speakers:

*Mr. Matthew McGuire, National Anti-Money Laundering Practice Leader, MNP LLP*

*Mr. Garry W. G. Clement, President and CEO, Clement Advisory Group*

*Mr. Luc Major, Manager, Financial Analysis and Disclosures Sector, FINTRAC*

Rapporteur:

*Ken Mark, Freelance Journalist*

10:00 – 10:30

**Nutrition Break**

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<p><b>10:30 – 12:00</b></p> <p>Moderator:</p> <p>Speakers:</p> <p>Rapporteur:</p>	<p><b>Corruption in Sport</b></p> <p>Sport is a multi-billion dollar business with intricate ties to political and private interests. Despite the fact that the opportunities for corruption are many, across the sporting sector, most deals and decisions take place behind closed doors. As a result, corruption when it happens can go unchecked and unpunished. Our panel has deep experience in the world of sport from the International Olympic Committee, to FIFA, to an athlete turned academic and activist. Each will explore how they see the issue of corruption in sport. Is it just a governance problem? Are we all complicit? Are there really solutions? Are we making measurable progress?</p> <p><b>Mr. Tony Keller</b>, <i>Editorial Page Editor, The Globe and Mail</i></p> <p><b>Dr. Bruce Kidd</b>, <i>Vice-President &amp; Principal, University of Toronto Scarborough, Professor, Faculty of Kinesiology &amp; Physical Education, Past Chair, Commonwealth Advisory Body on Sport</i></p> <p><b>Mr. Jim Klotz</b>, <i>Partner, Chair, Anti-Corruption &amp; International Governance Group, Miller Thomson LLP, Member, Independent Governance Committee, FIFA</i></p> <p><b>Mr. Richard W. Pound</b>, <i>Counsel, Stikeman Elliott, founding President, World Anti-Doping Agency, Member, International Olympic Committee</i></p> <p><b>Ms. Alesia Nahirny</b>, <i>Lawyer and Moderator, Office of Alesia Nahirny</i></p>
<p><b>12:00 – 13:15</b></p>	<p><b>Lunch</b></p>
<p><b>13:15 – 14:45</b></p> <p>Moderator:</p> <p>Speakers:</p> <p>Rapporteur:</p> <p>Moderator:</p> <p>Speakers:</p> <p>Rapporteur:</p>	<p><b>Books and Records Provisions under the CFPOA</b></p> <p>The 2013 amendments to the Corruption of Foreign Public Officials Act contained a new criminal offence of concealing bribes to public officials in accounting records. Although similar in intent to longstanding US FCPA provisions, there are significant differences. Speakers will review the new provisions and discuss the impact on corporations, individuals and corporate anti-corruption compliance programs.</p> <p><b>Mr. Martin Mueller</b>, <i>TI-Canada Board Member</i></p> <p><b>Mr. Mike Savage</b>, <i>Leader, Fraud Investigation and Dispute Services, Ernst &amp; Young</i></p> <p><b>Mr. Andrew Wiese</b>, <i>Crown Counsel, Anti-Organized Crime Unit, Public Prosecution Service of Canada</i></p> <p><b>Mr. Glen Jennings</b>, <i>Partner &amp; Leader, White Collar Defence &amp; Investigations Group, Gowlings</i></p> <p><b>Mr. Ken Mark</b>, <i>Freelance Journalist</i></p> <p><b>Are Municipal Governments at Greater Risk for Corruption?</b></p> <p>There have been a number of municipal scandals of late and proper municipal governance means asking many questions such as: Where does the money get spent? Has the gap in the Municipal Conflict of Interest Act, identified by Justice Douglas Cunningham in the 2010 Mississauga Inquiry, been filled? How does whistleblowing work in the municipal context? What is the effect of Bill 8, which expands the jurisdiction of the Ontario Ombudsman to municipalities? What can be done about the apparent lack of concern by the voting public regarding conflicts of interests in municipal affairs? Are the rules adequate for municipal procurement?</p> <p><b>Mr. Don Jack</b>, <i>Partner, Aird &amp; Berlis LLP</i></p> <p><b>Ms. Mary Ellen Bench</b>, <i>City Solicitor, Mississauga</i></p> <p><b>Mr. Gregory J. Levine</b>, <i>Barrister &amp; Solicitor</i></p> <p><b>Mr. Greg McArthur</b>, <i>Reporter, The Globe and Mail</i></p> <p><b>Ms. Lindsay Senese</b>, <i>JD</i></p>
<p><b>14:45 – 15:15</b></p>	<p><b>Nutrition Break</b></p>
<p><b>15:15 – 16:45</b></p> <p>Moderator:</p> <p>Speakers:</p> <p>Rapporteur:</p>	<p><b>Procurement Integrity and Supplier Debarment</b></p> <p>Supplier integrity requirements and debarment has become a very hot topic in Canada. The federal Integrity Framework has generated several headlines in recent months. Meanwhile, in Quebec, a venter validation program requires all bidders on major contracts to obtain a business ethics certification from the Autorités des marchés financiers. But what are the main challenges involved in bidder debarment? Does it work to foster greater business ethics compliance? How do we ensure bidder debarment best serves the public interest? What principles should guide it, and what can we learn from the US experience, where debarment has been a feature of the procurement process for many years?</p> <p><b>Mr. Paul Lalonde</b>, <i>TI-Canada Board Member, Partner, Dentons Canada LLP</i></p> <p><b>Mr. Tom Barletta</b>, <i>Partner, Steptoe &amp; Johnson LLP, Washington DC</i></p> <p><b>Prof. Richard LeBlanc</b>, <i>Associate Professor, Law, Governance and Business Ethics, York University</i></p> <p><b>Mr. Eric Miller</b>, <i>Vice President, Innovation and Competitiveness, Canadian Council of Chief Executives</i></p> <p><b>Me. Louis Letellier</b>, <i>Directeur des contrats publics et des entreprises de services monétaires, Autorité des marchés financiers du Québec</i></p> <p><b>Mr. Paul Pimentel</b>, <i>Articling Student, Blaney McMurtry LLP</i></p>
<p><b>16:45 – 17:00</b></p>	<p><b>Closing Remarks</b></p> <p><b>Mr. Peter Dent</b>, <i>Chair and President, TI-Canada</i></p>